PhD Program Candidacy Exam

Subject: PhD Candidacy Exam
Scope: This policy applies to PhD program students.
Responsible Office: Department of Research

Effective Date: 11/1997
Date Reviewed/Revised: 01/2023
Next Scheduled Review Date: 01/2026
Responsible Executive(s): • PhD Program Director • Associate Dean for Research, Chair, Department of Research

I. POLICY AND GENERAL STATEMENT

Policy: The candidacy examination serves as a basis for determining the student’s readiness for pursuing dissertation research. The student should demonstrate a command of the theoretical and methodological issues related to a specific research area. The candidacy examination is viewed as a continuing step in the educational process, building on previous course work and leading to the development of the dissertation proposal, proposal defense and the dissertation completion.

II. DEFINITIONS

The candidacy examination consists of a written examination and an oral examination. It is expected that together, the written and oral examinations will evidence a command of extant knowledge, and theoretical and methodological issues in the area of research. The exam questions may address:

• A comprehensive review of related literature
• A summary of theoretical/conceptual foundations
• A critique of methodologies and data analysis strategies
• A synthesis of the knowledge and identification of gaps
• A clear direction for future research in the field

The written exam is developed by the Candidacy Examination Committee, and the student’s response will reflect the most current edition of the American Psychological Association (APA) format.

The oral examination is a thorough examination and discussion of the student’s knowledge in the selected research area at the doctoral level of scholarship. The oral examination is broader than the written examination to aid in the determination of the student’s adequate mastery of the theoretical and methodological foundations to conduct the dissertation research.

Upon successful completion of both components of the Candidacy Exam, the student is admitted as a doctoral candidate. This designation indicates that the student has demonstrated a
command of theoretical and methodological knowledge that is foundational to their dissertation work.

The candidacy examination committee consists of Research Department faculty who are assigned to the Candidacy Exam course each year.

III. PROCEDURE

Timing in Program: The required candidacy examination is conducted with the approval of the academic advisor or dissertation chair after the student has completed the following courses: N7501, N7530, N7540, N7541, N7542, N7543, N7505 or Statistics Equivalent, N7506 or Statistics Equivalent, N7550 is a co-requisite course. A student may be advised to complete electives and/or cognates prior to taking the candidacy examination. The candidacy examination process takes approximately 13 weeks. Students register for the 1-credit Candidacy Examination (N7590) during the semester the examination is to be taken.

The candidacy examination has two parts, a written and an oral examination. The student must pass both the written and oral examination to be admitted to candidacy.

Prior to the semester in which the student plans to register for the candidacy examination, the student meets with the advisor to discuss their eligibility for the Candidacy Examination. The Candidacy Examination Committee will consist of three Research Department faculty assigned to the Candidacy Examination (N7590) course each year. Advisors are not eligible to serve on their student’s candidacy exam committees.

At the start or prior to start of the semester when the student will enroll for candidacy examination, the student meets with the Candidacy Committee to clarify the candidacy examination process and receive the dates for the completion of the written examinations and estimated date for the oral examination.

Process for Candidacy Examination (See Fig. 1 & Fig. 2): The written examination will be completed on-campus (no later than the 6th week of the semester). The written examination will consist of three questions, proctored and administered in-person over 3 days (e.g., M-W-F) at the Cizik School of Nursing. Students will receive one question per day and will have 4 hours to formulate their written response to each question. All students will receive the same questions.

The candidacy examination requires independent, original work. The student may not seek assistance from others such in completion of the written examinations. Students may use resources (e.g., computers, textbooks, articles, etc.) to complete the exam, but must do so independently, without co-operating with other students, consulting faculty, or individuals outside the university.

Within 4 weeks of receiving the written exam, the members of the examination committee will inform the Chair of the examination committee whether or not the student passes the written exam. If the student passes the written exam, the date of the oral exam is confirmed (within 1-2 weeks of passing the written exam). If the student fails the written exam, the student fails the candidacy exam, and meets with the Chair of the examination committee to receive feedback; the student may not proceed to the oral examination if they fail the written exam. If eligible, the student may retake the candidacy examination in another semester.
The student is notified of the outcome of the candidacy examination immediately following the oral examination. The student may receive a grade of “Pass” or “Fail.” All Candidacy Examination Committee members must agree on the final grade. The student who passes the written examination and fails the oral examination may retake the candidacy examination (written and oral) in another semester. The student who fails the oral examination may retake the candidacy examination (written and oral) in another semester.

Upon successful completion of the candidacy examination, the student is admitted as a candidate for the PhD degree. Students then proceed to dissertation proposal defense and dissertation.

Two attempts to pass the candidacy exam in different semesters are permitted. In accordance with the grading policy of the PhD program, the student is dismissed from the PhD program if the second attempt is unsuccessful.
Fig 1. Candidacy Exam Flow Chart

Student completes 35 hours of coursework

Student and advisor/dissertation chair agree on timing of candidacy examination.

Candidacy Committee meets with the student prior to the semester when the exam will be completed to clarify the examination process and to establish the date for the written exam and the potential date for the oral exam.

The student registers for the candidacy exam and completes the written portion of the exam by the end of week 6 of the beginning of the semester.

Within four (4) weeks of receiving the written candidacy exam, the committee shall inform the student of their eligibility to proceed to the oral exam as scheduled, or if they have failed the written exam. The student who passes the written exam will take the oral exam within 1 to 2 weeks.

The Candidacy Committee administers the oral exam. Following successful completion of the written and oral examination, the student is admitted to PhD Candidacy and may proceed with their dissertation work. If a student fails the Candidacy Exam, they may retake the candidacy exam (written and oral) in a subsequent semester.
Fig 2. Flow Diagram for Candidacy Exam

Register for Candidacy Exam

(1) Written Exam

- Pass
- Fail

(2) Oral Exam

- Pass
- Fail

Student admitted as PhD Candidate and proceeds to dissertation

Enroll to retake candidacy exam in another semester

Week 1
Week 6
Week 10
Week 11 or 12
IV. CONTACTS

List the phone number and email/web address of the office and/or person who is the subject matter expert on this policy and can answer questions regarding application and interpretation of the policy.

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<thead>
<tr>
<th>Contact</th>
<th>Telephone</th>
<th>Email/Web Address</th>
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<tbody>
<tr>
<td>PhD Program Director</td>
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